

12/21/01

JC683 U.S. PTO

01-07-02 10037144 12/21/01

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Please type a plus sign (+) inside this box → +

PTO/SB/05 (11-9-97)

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. **NEXSI-01226US0**
 First Inventor **Zaidi**
 Title **Cross-Bar Switch With Sink Port Accepting Multiple Packets**
 Express Mail Label No. **EL 795 247 880 US**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

JC679 U.S. PTO
 10/037144
 12/21/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status
See 37 CFR 1.27.
- ☒ Specification [Total Pages **43**]
(unperfected arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **10**]
- Oath or Declaration [Total Pages **5**]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
i. ☒ **DELETION OF INVENTOR(S)**
Signed statement deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PAPER(S)

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement of Attorney (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. **09, 900,514**
 Prior application information Examiner **Unknown** Group Art Unit **2661**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

| | | | | |
|---------|--|-----------|----------------|---------------------|
| Name | William J. Harmon, III | | | |
| Address | Vierra Magen Marcus Harmon & DeNiro, LLP | | | |
| | 685 Market Street, Suite 540 | | | |
| City | San Francisco | State | California | Zip Code 94105-4206 |
| Country | U.S.A. | Telephone | (415) 369-9660 | Fax (415) 369-9665 |

| | | | |
|-------------------|--------------------------|-----------------------------------|-------------------|
| Name (Print/Type) | William J. Harmon, III | Registration No. (Attorney/Agent) | 40,635 |
| Signature | <i>William J. Harmon</i> | Date | December 21, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

PTO/SB/17 (10-01)

Approved for use through 10/31/2002 OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | |
| Filing Date | December 21, 2001 |
| First Named Inventor | Zaidi |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | NEXSI-01226U50 |

TOTAL AMOUNT OF PAYMENT (\$) 547.00**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 501826

Deposit Account Name: Vierra Magen Marcus Harmon & DeNiro LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

| 1. BASIC FILING FEE | | | | | Fee Paid |
|---------------------|--------------|---------------|---------------|------------------------|----------|
| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | |
| 101 | 740 | 201 | 370 | Utility filing fee | 370.00 |
| 106 | 330 | 206 | 165 | Design filing fee | |
| 107 | 510 | 207 | 255 | Plant filing fee | |
| 108 | 740 | 208 | 370 | Reissue filing fee | |
| 114 | 160 | 214 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | 370.00 |

| 2. EXTRA CLAIM FEES | | | | | Fee Paid |
|---------------------|--------------|----------------|---------------|-----------------|----------|
| Total Claims | Extra Claims | Fee from below | Fee Code (\$) | Fee Description | |
| 35 | 20** = 15 | 9.00 | 135.00 | | |
| 4 | 3** = 1 | 42.00 | 42.00 | | |
| Multiple Dependent | | | | | 0.00 |

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | |
|--------------|--------------|---------------|---------------|--|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 | |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid | |
| 109 | 84 | 209 | 42 | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$) 177.00

**for number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------------|--------------|---------------|---------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 160 | Notice of Appeal | |
| 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavocable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or resissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(g) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | | |
| SUBTOTAL (3) | | | | | 0.00 |

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

| | | | | | |
|-------------------|-------------------------------|----------------------------------|-------------------|-----------|--------------|
| Name (Print/Type) | William J. Harmon, III | Registration No (Attorney/Agent) | 40,635 | Telephone | 415.369.9660 |
| Signature | <i>William J. Harmon, III</i> | Date | December 21, 2001 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-------------------------------|---|---------------------------|
| In re Application |) | <u>PATENT APPLICATION</u> |
| Inventors: Zaidi, et al. |) | |
| Application No.: Unknown |) | |
| Filed Date: December 21, 2001 |) | |
| Title: CROSS-BAR SWITCH WITH |) | Customer No.: 28554 |
| SINK PORT ACCEPTING |) | |
| MULTIPLE PACKETS |) | |

STATEMENT DELETING INVENTOR

Box Patent Application
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Sir:

Please delete the following as an inventor in the above-identified patent application:

Fred Gruner

The invention of the deleted inventor, which was claimed in the originally filed priority application with U.S. Application Serial No. 09/900,514, is no longer claimed in the claims of the present application in view of the Preliminary Amendment submitted herewith.

Respectfully submitted,

Date: December 21, 2001

By: William J. Harmon III
 William J. Harmon, III, Reg. No. 40,635

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